



GP 1645

PATENT

ATTORNEY DOCKET NO. CSHL.005.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roberto Malinow *et al*

Serial No.: 09/193,221

Filed: November 16, 1998

For: **Diagnostic Methods for Drug Screening  
for Alzheimer's Disease**

)  
) Examiner: NYA  
)  
) Art Unit: 1645  
)  
) **INFORMATION DISCLOSURE**  
) **STATEMENT TRANSMITTAL**  
)  
)  
)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- ☒ [X] An Information Disclosure Statement.
- ☒ [X] A PTO-1449.
- ☒ [X] Copies of references listed on the PTO-1449 form.
- ☐ [ ] English translation of foreign language references.
- ☐ [ ] Copy of foreign examination report.
- ☐ [ ] English translation of foreign examination report.
- ☒ [X] Return postcard (postage prepaid).

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Signed

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Janine Sperandio

1. ☒ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of this national application;
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
  - ☒ the mailing date of a first office action on the merits.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$210; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
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- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- (1) A certification, as stated below;
  - (2) A Petition requesting consideration of the Information Disclosure Statement; and
  - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

**TOTAL FEES: \$ 0**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
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- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.



Respectfully submitted,

Dated: April 22, 1999

Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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PATENT



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) Art Unit: 1645

) **INFORMATION DISCLOSURE**  
) **STATEMENT**

AI  
F#5  
5-7-99

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the above references.

CERTIFICATE OF FIRST CLASS MAILING

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Signed

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[Signature]  
Taninc Sperandeo



Respectfully submitted,

Dated: April 22, 1999

A handwritten signature in cursive script, appearing to read "Barbara Rae-Venter", written over a horizontal line.

Barbara Rae-Venter, Ph.D.

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PATENT

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 1In re application of: Roberto Malinow *et al*

Art Unit: 1645

Serial No. 09/193,221

Examiner: Not Yet Assigned

Filed: November 16, 1998

## U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	Filing Date
None						

## FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
None						

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials
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C1	Borchelt <i>et al</i> (October 1997) <u>Neuron</u> 19: 939-945.
C2	Davis <i>et al</i> (March 1998) <u>Neuron</u> 20: 603-609.
C3	Lee <i>et al</i> (July 1997) <u>Nat. Med.</u> 3: 756-760.
C4	Naruse <i>et al</i> (November 1998) <u>Neuron</u> 21: 1213-1221.

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.